



PATENT

Attorney Docket No. 0320-0018 (HOOV 118)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven

Serial No.: 10/015,862

Filed: December 12, 2001

Group Art No.: 3739

Examiner: Rosiland S. Kearney

For: TRANSMURAL ABLATION DEVICE

WITH SPRING LOADED JAWS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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## FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir/Madam:

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents is provided in U.S. Patent Application Serial No. 10/032,378, which is relied upon for an earlier effective filing date of the present application under 35 U.S.C. 120, and accordingly is not enclosed.

No inference should be drawn that any method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Fourth Supplemental Information Disclosure Statement is being filed either before the mailing of the first office action or after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. The cited information was first called to Applicant's attention either in an Office Action dated November 3, 2003 for a related application or in the results of a search of United States patents received not before October 27, 2003. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained

in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 1.97(c), a fee under §1.17(p) is not required for the filling of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required. (A duplicate of this document is enclosed herewith.)

Respectfully submitted,

Date: December 4, 2003 By: May W. 11/9 May Date:

Gary W. McFarron, Esq. Registration No. 27,357

Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. 200 West Adams St., Suite 2850 Chicago, IL 60606 Telephone: (312) 236-8500

Attorneys for Applicant

PTO/SB/08A (04-03)

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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/015,862			
Filing Date	December 12, 2001			
First Named Inventor	Michael D. Hooven			
Art Unit	3739			
Examiner Name	Rosiland S. Kearney			
Attorney Docket Number	0320-0018 (HOOV 118)			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	Number-Kind Code <sup>2 (# known)</sup>			rigules Appeal
	1	<sup>US-</sup> 5,323,781	06-28-1994	Ideker et al.	
	2	<sup>US-</sup> 5,500,012	03-19-1996	Brucker et al.	
	3	<sup>US-</sup> 5,876,398	03-02-1999	Mulier et al.	
	4	<sup>US-</sup> 5,891,138	04-06-1999	Tu et al.	
	5	<sup>US-</sup> 5,897,554	04-27-1999	Chia et al.	
	6	<sup>US-</sup> 6,033,402	03-07-2000	Tu et al.	
	7	<sup>US-</sup> 6,064,902	05-16-2000	Haissaguerre et al.	
	8	<sup>US-</sup> 6,068,653	05-30-2000	LaFontaine	
	9	<sup>US-</sup> 6,113,595	09-05-2000	Muntermann	
	10	<sup>US-</sup> 6,117,101	09-12-2000	Diederich et al.	
	11	<sup>US-</sup> 6,123,703	09-26-2000	Tu et al.	DE0-
	12	<sup>US-</sup> 6,142,994	11-7-2000	Swanson et al.	RECFIVED
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•	14	<sup>US-</sup> 6,193,713	02-27-2001	Geistert et al.	DEC 1 0 2003
	15	us- 6,292,678	09-18-2001	Hall et al. TEC	HIVO: 00
	16	<sup>US-</sup> 6,356,790	03-12-2002	Maguire et al.	CHNOLOGY CENTER hu/20
	17	<sup>US-</sup> 6,447,507	09-10-2002	Bednarek et al.	
	18	<sup>US-</sup> 6,474,340	11-05-2002	Vaska et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
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Examiner Signature		Date Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2

Complete if Known				
Application Number	10/015,862			
Filing Date	December 12, 2001			
First Named Inventor	Michael D. Hooven			
Art Unit	3739			
Examiner Name	Rosiland S. Kearney			
Attorney Docket Number	0320-0018 (HOOV 118)			

				DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	20	<sup>US-</sup> 6,506,189	01-14-2003	Rittman, III et al.	
	21	<sup>US-</sup> 6,575,969	05-10-2003	Rittman, III et al.	
	22	<sup>US-</sup> 6,610,055	08-26-2003	Swanson et al.	
	23	<sup>US-</sup> 6,632,222	10-14-2003	Edwards et al.	
	24	<sup>US-</sup> 6,334,860	01-01-2002	Dorn	
	25	<sup>US-</sup> 2002/0002329 A1	01-03-2002	Avitall	
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	29	<sup>US-</sup> 2002/0082595 A1	06-27-2002	Langberg et al.	
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	34	us- 2003/0069572 A1	04-10-2003	Wellman et al.	HIVOEOGT OENTER FIGA
	35	<sup>US-</sup> 2003/0069577 A1	04-10-2003	Vaska et al.	
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 Examiner Name
 Rosiland S. Kearney

 Attorney Docket Number
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